



CERTIFICATE OF MAILING (37 CFR 1.8(A))

2ed or \$ #3

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date:

27 April 2001

Laura J. Kelly

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|                             |                            |                                    |                           |
|-----------------------------|----------------------------|------------------------------------|---------------------------|
| SERIAL NUMBER<br>09/740,051 | FILING DATE<br>18 DEC 2000 | FIRST NAMED INVENTOR<br>FLICKINGER | ATTY. DKT. NO.<br>T900-11 |
| TITLE<br>E-REGISTRAR        |                            | ART UNIT<br>2161                   | EXAMINER<br>UNKNOWN       |

Assistant Commissioner for Patents  
Washington, D.C. 20231

TRANSMITTAL

Enclosed for filing are the following papers:

- ☒ Fee Transmittal Form      ☐ Check for \$  
☐ Amendment / Response      ☐ After Final  
☐ Petition for Extension of Time  
☐ Petition to Commissioner  
☐ Information Disclosure Statement      ☐ Form PTO-1449  
☒ Notice to File Missing Parts of Application/Incomplete Application  
☐ Express Mail Certificate  
☒ Applicant Claims Small Entity Status. See 37 CFR 1.27.  
☒ Other: Assignment and Cover Sheet (4pp.)

Remarks: The above-noted documents and fees are being transmitted herewith in response to the Notice to File Missing Parts of Non-Provisional Application mailed March 5, 2001.

Dated:

4/27/01

Technology, Patents and Licensing, Inc.  
340 North Broad Street  
Doylestown, PA 18901  
(215) 340-6900

Signature  
Douglas J. Ryder, Reg. No. 43,073



27833

PATENT, TRADEMARK OFFICE



PTO/SB/17 (11-00)  
Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 460.00)

## Complete if Known

|                      |                  |
|----------------------|------------------|
| Application Number   | 09/740,051       |
| Filing Date          | 18 DECEMBER 2000 |
| First Named Inventor | FLICKINGER       |
| Examiner Name        | UNKNOWN          |
| Group Art Unit       | 2161             |
| Attorney Docket No.  | T900-11          |

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number: 501487
- Deposit Account Name: Technology, Patents and Licensing, Inc.
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☒ Applicant claims small entity status. See 37 CFR 1.27
2. ☐ Payment Enclosed:
- ☐ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity Code | Large Entity Fee (\$) | Small Entity Code | Small Entity Fee (\$) | Fee Description        | Fee Paid |
|-------------------|-----------------------|-------------------|-----------------------|------------------------|----------|
| 101               | 710                   | 201               | 355                   | Utility filing fee     | 355.00   |
| 106               | 320                   | 206               | 160                   | Design filing fee      | 0.00     |
| 107               | 490                   | 207               | 245                   | Plant filing fee       | 0.00     |
| 108               | 710                   | 208               | 355                   | Reissue filing fee     | 0.00     |
| 114               | 150                   | 214               | 75                    | Provisional filing fee | 0.00     |

SUBTOTAL (1) (\$ 355.00)

### 2. EXTRA CLAIM FEES

Total Claims: 18 - 20\*\* = 0 X 9.00 = 0.00

Independent Claims: 3 - 3\*\* = 0 X 40.00 = 0.00

Multiple Dependent: 135.00 = 0.00

| Large Entity Code | Large Entity Fee (\$) | Small Entity Code | Small Entity Fee (\$) | Fee Description  |
|-------------------|-----------------------|-------------------|-----------------------|--|
| 103               | 18                    | 203               | 9                     | Claims in excess of 20                                     |
| 102               | 80                    | 202               | 40                    | Independent claims in excess of 3                          |
| 104               | 270                   | 204               | 135                   | Multiple dependent claim, if not paid                      |
| 109               | 80                    | 209               | 40                    | ** Reissue independent claims over original patent         |
| 110               | 18                    | 210               | 9                     | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$ 0.00)

\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity Code   | Large Entity Fee (\$) | Small Entity Code | Small Entity Fee (\$) | Fee Description  | Fee Paid |
|---------------------|-----------------------|-------------------|-----------------------|--|----------|
| 105                 | 130                   | 205               | 65                    | Surcharge - late filing fee or oath  | 65.00    |
| 127                 | 50                    | 227               | 25                    | Surcharge - late provisional filing fee or cover sheet                     | 0.00     |
| 139                 | 130                   | 139               | 130                   | Non-English specification  | 0.00     |
| 147                 | 2,520                 | 147               | 2,520                 | For filing a request for ex parte reexamination                            | 0.00     |
| 112                 | 920*                  | 112               | 920*                  | Requesting publication of SIR prior to Examiner action                     | 0.00     |
| 113                 | 1,840*                | 113               | 1,840*                | Requesting publication of SIR after Examiner action                        | 0.00     |
| 115                 | 110                   | 215               | 55                    | Extension for reply within first month                                     | 0.00     |
| 116                 | 390                   | 216               | 195                   | Extension for reply within second month                                    | 0.00     |
| 117                 | 890                   | 217               | 445                   | Extension for reply within third month                                     | 0.00     |
| 118                 | 1,390                 | 218               | 695                   | Extension for reply within fourth month                                    | 0.00     |
| 128                 | 1,890                 | 228               | 945                   | Extension for reply within fifth month                                     | 0.00     |
| 119                 | 310                   | 219               | 155                   | Notice of Appeal   | 0.00     |
| 120                 | 310                   | 220               | 155                   | Filing a brief in support of an appeal                                     | 0.00     |
| 121                 | 270                   | 221               | 135                   | Request for oral hearing   | 0.00     |
| 138                 | 1,510                 | 138               | 1,510                 | Petition to institute a public use proceeding                              | 0.00     |
| 140                 | 110                   | 240               | 55                    | Petition to revive - unavoidable   | 0.00     |
| 141                 | 1,240                 | 241               | 620                   | Petition to revive - unintentional   | 0.00     |
| 142                 | 1,240                 | 242               | 620                   | Utility issue fee (or reissue)   | 0.00     |
| 143                 | 440                   | 243               | 220                   | Design issue fee   | 0.00     |
| 144                 | 600                   | 244               | 300                   | Plant issue fee  | 0.00     |
| 122                 | 130                   | 122               | 130                   | Petitions to the Commissioner  | 0.00     |
| 123                 | 50                    | 123               | 50                    | Processing fee under 37 CFR 1.17(q)  | 0.00     |
| 126                 | 180                   | 126               | 180                   | Submission of Information Disclosure Stmt                                  | 0.00     |
| 581                 | 40                    | 581               | 40                    | Recording each patent assignment per property (times number of properties) | 40.00    |
| 146                 | 710                   | 246               | 355                   | Filing a submission after final rejection (37 CFR § 1.129(a))              | 0.00     |
| 149                 | 710                   | 249               | 355                   | For each additional invention to be examined (37 CFR § 1.129(b))           | 0.00     |
| 179                 | 710                   | 279               | 355                   | Request for Continued Examination (RCE)                                    | 0.00     |
| 169                 | 900                   | 169               | 900                   | Request for expedited examination of a design application                  | 0.00     |
| Other fee (specify) |                       |                   |                       |  | 0.00     |

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 105.00)

## SUBMITTED BY

Name (Print/Type) Douglas A. Ryder

Registration No. 43,073  
(Attorney/Agent)

## Complete (if applicable)

Telephone (215) 340-6900

Signature

Date 4/27/01

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/740,051         | 12/18/2000          | Gregory C. Flickinger | T900-11                |

Technology, Patents and Licensing, Inc.  
340 North Broad Street  
Doylestown, PA 18901



CONFIRMATION NO. 4809

## FORMALITIES LETTER



\*OC00000005822777\*

Date Mailed: 03/05/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 420.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/04/2001 HTECKLU1 00000054 501487 09740051

01 FC:201 355.00 CH  
02 FC:205 65.00 CH